SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add		0		uer Name and Tick			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 1251 MCKAY	(First)	(Middle)		te of Earliest Transa 7/2020	action (Month/	/Day/Year)	X	Director Officer (give title below) See rema	10% C Other below arks below	(specify		
(Street) SAN JOSE (City)	CA (State)	95131 (Zip)	4. If <i>A</i>	Amendment, Date o	f Original Filed	d (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Grou Form filed by On Form filed by Mo Person	e Reporting Per	rson		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transact Date				2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) or 5. Amount of 6. Owr Disposed Of (D) (Instr. 3, 4 and Securities Form:				7. Nature of Indirect		

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		5)	(D) (IIISU.	3, 4 anu			Beneficial Ownership (Instr. 4)
		Code V Amount (A) or Price Trans		Transaction(s) (Instr. 3 and 4)		(1130.4)				
Common Stock	08/17/2020		A		30,648 ⁽¹⁾	Α	\$0.00	259,010 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of	iired r osed) 7. 3, 4	Expiration Date (Month/Day/Year) ed		Expiration Date Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. One-third of the total number of deferred stock units shall vest on each anniversary following the vesting commencement date of August 17, 2020, until fully vested on August 17, 2023.

2. Includes 541 shares acquired under the Issuer's employee stock purchase plan in March 2020.

Remarks:

The reporting person is President and Chief Executive Officer.

/s/ Kermit Nolan, as attorney-08/19/2020 in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.